RAVI YADAV
Tanda badli Rampur district Rampur Utta
r Pradesh

Rampur
244925
9058856413

02/06/2022
Bangalore

Dear RAVI YADAV

Welcome to Fundsinn.com. An online platform to manage your investments wisely. We are happy to play a part in helping you to achive your financial goal.

Managing your personal investments will never be the same again. Using Fundsinn platform you will be able to Invest, Reedem, and Track your investments from your place of convinience.

To Complete your account openning process, We need you to send us the application form and a few required documents. The list of documents that we require are enclosed in the "Document Checklist ".

**USER ID:** silentknight1406@gmail.com

Please ensure that you send us the entire list of documents from the "Document Checklist " to avoid any delay in processing the application form.

Also find the attached terms and conditions of using our services. Please go through it carefully, and maintain it for your records.

**Fundsinn Financial Services Private Limited**

If you have any questions regarding the application form or the documents required please get in touch with us at contact@fundsinn.com or call us at +91

Thank you for choosing fundsinn.com
Happy Investing!

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**KYC Registration is a centralized process. Please follow the checklist below strictly.**

- ☐ To avoid your KYC application being rejected, please use 'BLACK' ink to fill out and sign your KYC application form.
- ☐ Please print out the KYC Application form in Single Sheets. Please sign in the bottom of the second page also.
- ☐ The name in PAN Card should match the name in the KYC application form. If there is any mismatch, the KYC application will get rejected.
- ☐ Name of the father in PAN Card should match the father name in the KYC application form. If there is any mismatch, the KYC application will get rejected.
- ☐ If passport or driving license is produced as proof of address, please ensure that date of validity is clearly displayed.
- ☐ Name & Address in supporting document attached should exactly match with Name & Address written in KYC form. If there is any mismatch, the KYC application will get rejected.

## Documents Checklist for KYC filing

- ☐ **KYC form duly filled and signed (Please donot make any corrections)**
- ☐ **Recent color photo (signed across the photo – size : 3.5cm X 3.5cm )**

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## Document Checklist for Fundsinn Application Form - Please check before sending

- ☐ Pre filled application form signed (check the Applicant Name is as per the PAN Card. Please do not make any corrections in the application form).
- ☐ Two copies of PAN Card (Self-Attested)
- ☐ Two copies of Address Proof (Self-Attested)
- ☐ Cancelled Cheque with your name printed on the cheque leaf.
- ☐ Incase you are opening a minor account please include a copy of the minors"Birth Certificate" for proof of date of birth.
- ☐ Please Sign in all the pages of the Terms & Conditions.

**Proof of Address Documents:** **1)** Latest BSNL bill (only Landline)

**2)** Latest Electricity Bill
**3)** Latest bank account Statment with bank logo or bank seal (last 3 months)
**4)** Passport Copy
**5)** Voter Identiy card
**6)** Driving License
**7)** Ration Card Copy (only English)

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Application No. : F20220602000

# FUNDSINN Application Form

## A. Identity Details

**1. Name of First Applicant** (As appearing in supporting identification document).

Name: RAVI YADAV

Father's/Spouse Name: ARJUN YADAV

PHOTOGRAPH

Please affix the recent passport size photograph and sign across it

**2. Gender** ☑ Male ☐ Female **B. Marital status** ☑ Single ☐ Married **C. Date of Birth** 26 / 01 / 2001

**3. Nationality** ☑ Indian ☐ Other (Please specify) _____

**4. Status** Please tick (✓) ☑ Resident Individual ☐ Non Resident ☐ Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

**5. PAN** AWVPY4652M Please enclose a duly attested copy of your PAN Card

**Unique Identification Number (UID)/Aadhaar,** if any: _____

**6. Name of Second Applicant** (As appearing in supporting identification document).

Name: _____

PAN: _____ Please enclose a duly attested copy of your PAN Card

**7. Name of Third Applicant** (As appearing in supporting identification document).

Name: _____

PAN: _____ Please enclose a duly attested copy of your PAN Card

## B. Address Details

**1. Address for Correspondence**

TANDA BADLI RAMPUR DISTRICT RAMPUR UTTAR PRADESH

City / Town / Village: RAMPUR Pin Code: 244925

State: UTTAR PRADESH Country: INDIA

**2. Contact Details**

Tel. (Off.) (ISD) (STD) Tel. (Res.) (ISD) (STD)

Mobile (ISD) (STD) 9058856413 Fax (ISD) (STD)

E-Mail Id. SILENTKNIGHT1406@GMAIL.COM

**3. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant**

TANDA BADLI RAMPUR DISTRICT RAMPUR UTTAR PRADESH

City / Town / Village: RAMPUR Pin Code: 244925

State: UTTAR PRADESH Country: INDIA

## C. Other Details

**1. Gross Annual Income Details** (Please tick (✓): ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☑ > 25 Lacs

**OR**

**Net-worth in ₹.** (*Net worth should not be older than 1 year) _____ **as on (date)** dd / mm / yyyy

**2. Occupation**:

☐ Private Sector Service ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired

☐ Housewife ☑ Student ☐ Forex Dealer ☐ Others (Please specify) _____

**3. Please tick, if applicable:** ☐ Politically Exposed Person ☐ Related to a Politically Exposed Person

**4. Any other information:** _____

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Application No. : F20220602000

## D. Nomination Details

**Name of the Nominee:** SILENT KNIGHT

**Name Of Parent / Gaurdian incase of minor:**

**Relationship:** BROTHER **Date of Birth (in case of Minor):** 02 / 06 / 2001

☐ **I / We do not Wish to make nomination with respect to our investments.**

## E. Bank Account Details

Account Type: ☑ Savings ☐ Current ☐ NRO ☐ NRE ☐ FCNR ☐ Others

Account No: 15680150629 6 MICR Code

Bank Name: ICICI BANK

Bank Address:

City / Town / Village Pin Code

State Country

☐ Cancelled Cheque Enclosed

Please Ensure that the Name in Application Form Matches the Bank Account

## F. Declaration

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place: Date:

**SIGNATURE OF APPLICANT**

This Space is intentionally left blank

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# ONE TIME BANK MANDATE

**(NACH / ECS / Direct Debit Mandate Form)**
**(Applicable for Lumpsum Additional Purchases as well as SIP Registration)**

UMRN (For Office Use Only) 0 2 0 6 2 0 2 2

Create ☑
Modify ☒
Cancel ☒

Sponsor Bank Code HDFC0000060 (Office Use Only) Utility Code HDFC01351000011223 (Office Use Only)

I/We hereby authorize Fundsinn Wealth Advisors Pvt. Ltd. to debit (tick ✓) ☑ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank A/c no: 1 5 6 8 0 1 5 0 6 2 9 6 (Destination Bank Account Number)

With Bank ICICI Bank (Name of Destination Bank with Branch) IFSC P Y T M 0 1 2 3 4 5 6 or MICR

an amount of Rupees _____ ₹ 500000

FREQUENCY: ☒ Monthly ☒ Quarterly ☒ Half Yearly ☒ Yearly ☑ as & when presented **DEBIT TYPE** ☒ Fixed Amount ☑ Maximum Amount

Reference / Folio No. 58634467 Email ID: silentknight1406@gmail.com

Scheme / Plan reference Number : _____ Phone No: 9058856413

I Agree for the debit of Mandate Processing charges by the bank whom I am Authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD

From : 0 2 0 6 2 0 2 2
To:
Or ☑ Until Cancelled

1 _____ Signature of Account Holder
2 _____ Signature of Account Holder
3 _____ Signature of Account Holder

1 _____ Name of Account Holder
2 _____ Name of Account Holder
3 _____ Name of Account Holder

This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the User entity / corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that i am authorized to cancel/ amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate of the bank where i have authorized the debit.

THIS SECTION IS INTENTIONALLY KEPT BLANK

Application No: F20220602000

## Fundsinn Financial Services Account

Terms and Conditions

**PLEASE READ THE FOLLOWING TERMS AND CONDITIONS CAREFULLY BEFORE INVESTING ON INVESTMENT PRODUCTS AND AVAILING SERVICES USING THIS SITE.**
These legal conditions govern the investment on Investment Products, use of Services and access to the Web Site.

**GENERAL**
This Terms and Conditions Agreement ("Agreement") contains the complete terms and conditions that apply to an individual's or entity's for availing the Investment Schemes/Products, Services and Web Site access provided by **Fundsinn Financial Services Private Limited,** hereinafter referred to as FFS.

**1. Definitions.**

1. "You" mean the entity/ individual, that has entered into this Agreement with "Fundsinn Financial Services Private Limited**, i.e., Investor**".
2. "Service" means the service available under this Agreement, as offered on our portal www.fundsinn.com.
3. "Investment" means any investment made by you, on any of the Investment Product offered by and, through Fundsinn Financial Services, an intermediary, Services via the portal www.fundsinn.com.
4. "Investment Product" means the investment opportunities such Mutual Fund, Fixed Deposits, Insurance Policies, other financial investment schemes available in the primary and secondary market, etc made available by FFS to you vide its portal www.fundsinn.com.
5. "Investee" means the entities offering Investment Products through FFS, an intermediary.
6. "Fundsinn Financial Services Private Limited" means a company incorporated under the Companies Act, 1956 and registered under the Association of Mutual Funds in India and regulated by the SEBI Mutual Fund Regulations and AMFI guidelines, acts as an intermediary between the Investor and Investee.

**2. LICENSE AND SITE ACCESS**

**License grant — what you are licensed to use.**

1. Through our FFS portal, Investee Entities sell their Investment Products to Investor, who can make investment with permitted payment method. If you are under 18, you may use fundsinn.com only with the involvement of a parent or guardian. FFS reserves the right to refuse service, terminate accounts, remove or edit content, or cancel Investment in its sole discretion.

2. License is granted in the form of a login Id and password to access and use our Services. Fundsinn grants you a limited license to access and make personal use of this site and not to download (other than page caching) or modify it, or any portion of it, except with express written consent of FFS.
3. You are hereby acknowledging that by utilizing the Services and the Website, you will not acquire any rights in or to the Services or the Website or the materials contained within the Services or the Website other than a right to utilize the Services and the Website in accordance with the terms and conditions of this Agreement. In the event you choose to download content from the Website, you must do so in accordance with, and subject to, the terms and conditions of this Agreement. Furthermore, all intellectual property rights related to the Services or the Website, including all copyright, trademark, trade secret and/or patent rights, will remain the exclusive property of FFS.
4. You may not frame or utilize techniques to enclose any trademark, logo, or other proprietary information (including images, text, or form) of FFS without express written consent. Any unauthorized use terminates the permission or license granted by FFS. You may not use any FFS logo or other proprietary graphic or trademark as part of the link without express written permission.

**3. CONFIDENTIALITY OF YOUR ACCOUNT**

If you use this site, you are responsible for maintaining the confidentiality of your account and password, and you agree to accept responsibility for all activities that occur under your account or password. FFS assures and confirms that shall maintain confidentiality of your account and password at all times with reasonable due diligence except under as required applicable laws.

**4. SERVICE DESCRIPTIONS**

1. FFS attempts to provide general information regarding the Financial and Capital markets, comparisons between the schemes of the various Investees and to make recommendations for investments and advice on the Financial Investments. FFS shall act only as an intermediary between the Investor and the Investee, and nothing else.
2. FFS attempts to be as accurate as possible. However, FFS does not warrant that product descriptions or other content of this site is accurate, complete, reliable, current, or error-free. If the product provided by the Investee Entity, itself is not as described in the FFS portal, your sole remedy is to return to the Investee Entity in that condition.
3. While we make commercially reasonable efforts to maintain the accuracy and availability of Products, Services and Web Site, we make no representation that the operation of Services through our web Site will be uninterrupted or error-free, and we will not be liable for the consequences of any interruptions or errors.
4. Our Services and Web Site contains references and/or Web Site to companies, products and web sites which are owned and operated by Publishers or the "External Sources". You acknowledge that FFS is not responsible for the availability of any External Sources. You should contact the companies for those External Sources if you have any concerns regarding such Products, Services and Web Site located on such External Sources.
5. Parties or Investee Entities provide services, or sell Investment Product online on this site. We are not responsible for examining or evaluating, and we do not warrant the offerings of, any of these businesses or individuals or the content of their Web sites. You should carefully review their privacy statements and other conditions of use.

| SIGNATURE OF 1st APPLICANT | SIGNATURE OF 2nd APPLICANT | SIGNATURE OF 3rd APPLICANT |
|---|---|---|
| | | |

**5. PAYMENT**

1. FFS is not charging any service fee for the services provided to you but may decided to charge on a later point of time based on the expenses incurred by the company.
2. You acknowledge that you have read all available information about the Investment product before you make your Investment.

**6 . AUTHORITY TO COMPANY**

The Investor/s agrees and confirms to authorize, execute all documents in favour of the FFS as an agent, to act on his/their behalf with regard to the transactions with the Investee's entities, as it is processed through the web portal of FFS. The authorization is limited with regard to purchase or redemption of the units. The transaction right will be pursuant to you executing and granting a mandate in favour of FFS, in the manner and form as prescribed by FFS from time to time and mandate will be applicable to all Investment Products, Accounts whether presently existing or to be opened in future. The Investor assures that he is aware of the various statutes governing the Investee and shall not hold the FFS liable for any claims or losses with regard to the same.

**7. PURCHASE/SALE**

1. Transaction instruction from registered customers would only be processed. Registered customers can only purchase, redeem or switch mutual fund units through this service. You are requested to read and accept the Entry and Exit policy of the Investment products mentioned in the offer document before making investments and FFS shall not be liable for any rejection of such application, which is not in compliance of the policy contained in the offer document. The purchase instructions shall be processed only when the sufficient fund required for such purchase is received by FFS and you shall agree to pay any deficit amount towards purchase forthwith. In no way FFS shall be responsible for non execution of your instructions with regard to purchase or redeem of units, either for entire quantity or for any part of quantity of units.
2. You shall agree and acknowledge that the facility provide herein is limited only to select Mutual funds with whom FFS has separate arrangement. The FFS has prescribed cut off time limit for instructions given, which shall be processed on the same day and the instructions given beyond the cut off time limit shall be processed on the next working day. Any transaction request becomes due on holiday shall be processed on the next business working day.
3. You shall acknowledge that the units of the scheme shall be allotted, redeemed or switched, as the case is, at the Net Asset Value (NAV) prevalent on the date of application before the cut off time, by the mutual fund, as specified by FFS and consistent with terms of the scheme. Further You shall agree and covenant that the transaction once placed shall not be cancelled.

**8. REVIEWS, COMMENTS, COMMUNICATIONS, AND OTHER CONTENT**

1. Visitors may post reviews, comments, and other content; and other communications; and submit suggestions, ideas, comments, questions, or other information, so long as the content is not illegal, obscene, threatening, defamatory, invasive of privacy, infringing of intellectual property rights, or otherwise injurious to third parties or objectionable and does not consist of or contain software viruses, political campaigning, commercial solicitation, chain letters, mass mailings, or any form of "spam." FFS reserves the right (but not the obligation) to remove or edit such content.
2. You may not use a false e-mail address, impersonate any person or entity, or otherwise mislead as to the origin of a card or other content.
3. If you do post content or submit material, and unless we indicate otherwise, you grant FFS a nonexclusive, royalty-free, perpetual, irrevocable, and fully sublicensable right to use, reproduce, modify, adapt, publish, translate, create derivative works from, distribute, and display such content throughout the world in any media. FFS has the right but not the obligation to monitor and edit or remove any activity or content. FFS takes no responsibility and assumes no liability for any content posted by you or any third party.

**9. TRANSACTION RIGHTS OF THE INVESTOR**

The FFS does not restrict you from transacting directly with the Investee's without the necessity of the intermediary, under the folio tagged with the FFS's broker code with the Investee. The transaction rights given to you shall be subject to the guidelines designed by the AMFI under the code of conduct for intermediaries of mutual funds, which exists at that time.

**10. LIABILITY OF FFS**

1. FFS shall not, in absence of gross negligence on FFS part, be liable to you for any act, omission or delay with regard to mutual fund or for any claims, which you may suffer or incur as a result of or in discharge of duties by FFS or its nominees or agents.

2. Without prejudice to what is stated herein, FFS shall not be liable for any loss or damage or failure to comply or delay in complying with its obligation under this Agreement, which is caused directly or indirectly for whatsoever reason beyond the control of FFS or Force Majeure.
3. The FFS shall maintain the secrecy of all data's provided by the Investor with due diligence and ensures to secure the content of FWA's website against unauthorised and fraudulent access but shall not be held responsible for any leakage or disclosure of such information or for any claims arising out of such unauthorised or fraudulent access during the existence of this Agreement or otherwise. FFS will not have any liability to verify compliance with any restrictions on your Investment powers.

4. The FFS shall make every effort to avail FWA's website online at all times but the same may be unavailable or restricted for certain internal managements but shall not be liable for any loss or damage caused directly or indirectly due such unavailability.

| SIGNATURE OF 1st APPLICANT | SIGNATURE OF 2nd APPLICANT | SIGNATURE OF 3rd APPLICANT |
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| | | |

Application No: F20220602000

**11. APPLICABLE LAW**

This Agreement shall be construed in accordance with the laws of India. By visiting Fundsinn.com, you agree that the Indian laws, without regard to principles of conflict of laws, will govern these Conditions of Use and any dispute of any sort that might arise between you and FFS. Any dispute relating in any way to your visit to Fundsinn.com or to products or services sold or advertised by FFS or through Fundsinn.com shall be referred for arbitration and arbitration place shall be in Chennai, India, and you consent to exclusive jurisdiction.

**12. LIMITATION OF LIABLILITY.**

1. You agree that FFS (and its agents, officers, directors and employees) are not liable for damages of any kind that accompany or result from your Investment on Products, Services availed and/or access to Web Site and any material posted in or on it.

2. FFS has no liability towards the customers regarding the quality of the products and is acting only as an intermediary of the products offered by the Investee Entities. In case of any consumer related claims on ground of negligence or defectiveness of the products your option is to raise the same to the Investee Entities.

**13. INDEPENDENT INVESTIGATION.**

You acknowledge that you have read this agreement and agree to all its terms and conditions. You have independently evaluated the desirability of participating in the service and are not relying on any representation, guarantee, or statement other than as set forth in this agreement.

**14. MODIFICATION**

FFS reserves the right to, without notice, change Investment Products, Services and Web Site, including without limitation with respect to products offered, product information, availability, content and payment fee, and may at any time modify the Terms and Conditions of investment on Products, Services and Web Site. Please check this page periodically for changes. Your investment on Products, Services and Web Site will be conditional upon your acceptance of the Terms and Conditions in force at the time of your use.

**15. TERMS & TERMINATION OF SERVICE**

FFS reserves the right to block your access to the Products, Services and Web Site if you are using it in excess of this provision or otherwise unreasonably within the sole discretion of FFS. The Products, Services and Web Site available are delivered in accordance with the terms of a License Agreement. We may modify any of the terms and conditions contained in this Agreement, at any time and in our sole discretion, by posting a change notice or a new agreement on our Site. We may also choose to use commercially reasonable efforts to notify all users under this Agreement via any email addresses you provide to FFS. If any modification is unacceptable to you, your only recourse is to terminate this agreement. Your continued utilization of the service following any change will constitute your binding acceptance of the change. FFS reserves the right, at its sole discretion, to restrict, suspend or terminate your access to all or any part of Products and/or Web Site at any time for any reason without prior notice or liability.

**16. SEVERABILITY**

These Terms and Conditions shall be deemed severable. In the event any provision of the Terms and conditions is determined to be unenforceable or invalid, such provision shall be enforced to the fullest extent permitted by applicable law and such determination shall not affect the validity and enforceability of any other remaining provisions.

**17. FUNDSINN INTELLECTUAL PROPERTY**

1. All content included on this site, such as text, graphics, logos, button icons, images, audio clips, digital downloads, data compilations, and software, is the property of FFS or its content suppliers and protected by Indian laws and international copyright laws. The compilation of all content on this site is the exclusive property of FFS and protected by Indian laws and international copyright laws. All software used on this site is the property of FFS or its software suppliers and protected by Indian laws and international copyright laws.

2. FFS respects the intellectual property of others. If you believe that your work has been copied in a way that constitutes copyright infringement, please provide Fundsinn.com's copyright agent the written information specified below. Please note that this procedure is exclusively for notifying FFS that your copyrighted material has been infringed.

   - An electronic or physical signature of the person authorized to act on behalf of the owner of the copyright interest;
   - A description of the copyrighted work that you claim has been infringed upon;
   - A description of where the material that you claim is infringing is located on the site, including the auction ID number, if applicable;
   - Your address, telephone number, and e-mail address;
   - A statement by you that you have a good-faith belief that the disputed use is not authorized by the copyright owner, its agent, or the law;
   - A statement by you, made under penalty of perjury, that the above information in your notice is accurate and that you are the copyright owner or authorized to act on the copyright owner's behalf.

| SIGNATURE OF 1st APPLICANT | SIGNATURE OF 2nd APPLICANT | SIGNATURE OF 3rd APPLICANT |
|---|---|---|
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Application No: F20220602000

**DISCLAIMER OF WARRANTIES AND LIMITATION OF LIABILITY**

TO THE FULL EXTENT PERMISSIBLE BY APPLICABLE LAW, FUNDSINN DISCLAIM ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING, BUT NOT LIMITED TO, IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE. FUNDSINN DOES NOT WARRANT THAT THIS SITE; INFORMATION, CONTENT, MATERIALS, PRODUCTS (INCLUDING SOFTWARE) OR SERVICES INCLUDED ON OR OTHERWISE MADE AVAILABLE TO YOU THROUGH THIS SITE; THEIR SERVERS; OR ELECTRONIC COMMUNICATIONS SENT FROM FUNDSINN ARE FREE OF VIRUSES OR OTHER HARMFUL COMPONENTS. FUNDSINN WILL NOT BE LIABLE FOR ANY DAMAGES OF ANY KIND ARISING FROM THE USE OF THIS SITE OR FROM ANY LOSS OR LEAKAGE OF DATA, INFORMATION, CONTENT, MATERIALS, PRODUCTS (INCLUDING SOFTWARE) OR SERVICES INCLUDED ON OR OTHERWISE MADE AVAILABLE TO YOU THROUGH THIS SITE, INCLUDING, BUT NOT LIMITED TO DIRECT, INDIRECT, INCIDENTAL, PUNITIVE, AND CONSEQUENTIAL DAMAGES, UNLESS OTHERWISE SPECIFIED IN WRITING

| SIGNATURE OF 1st APPLICANT | SIGNATURE OF 2nd APPLICANT | SIGNATURE OF 3rd APPLICANT |
|---|---|---|
| | | |

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# FATCA & CRS
# Annexure for Individual Accounts
*(Including Sole Proprietor) (Refer to instructions)*

*(Please consult your professional tax advisor for further guidance on your tax residency, if required)*

## First / Sole Applicant / Guardian

Name

Gender ☑ ☐ O | PAN A W V P Y 4 6 5 2 M | Occupation Type Service | Business | Others

Father's Name

Cust ID / Folio No.

*Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes*

Type of address given at KRA ✓ Residential or Business ✓ Residential ✓ Business ✓ Registered Office

Permissible documents are ○ Passport ○ Election ID Card ○ PAN Card ○ Govt. ID Card ○ Driving License ○ UIDAI Card ○ NREGA Job Card ○ Others

Date of Birth 2 6 0 1 2 0 0 1 | Place of Birth

Country of Birth

Nationality

Are you a tax resident of any country other than India? Yes ✓ No ✓

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

| Country[#] | Tax Identification Number[%] | Identification Type *(TIN or Other, please specify)* |
|---|---|---|
| | | |
| | | |
| | | |
| | | |

[#]To also include USA, where the individual is a citizen / green card holder of The USA

[%]In case Tax Identification Number is not available, kindly provide its functional equivalent $

## Second applicant

Name

Gender M F O | PAN | Occupation Type Service | Business | Others

Father's Name

Cust ID / Folio No.

*Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes*

Type of address given at KRA ✓ Residential or Business ✓ Residential ✓ Business ✓ Registered Office

Permissible documents are ○ Passport ○ Election ID Card ○ PAN Card ○ Govt. ID Card ○ Driving License ○ UIDAI Card ○ NREGA Job Card ○ Others

Date of Birth | Place of Birth

Country of Birth

Nationality

Are you a tax resident of any country other than India? Yes ✓ No ✓

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

| Country[#] | Tax Identification Number[%] | Identification Type *(TIN or Other, please specify)* |
|---|---|---|
| | | |
| | | |
| | | |
| | | |

[#]To also include USA, where the individual is a citizen / green card holder of The USA

[%]In case Tax Identification Number is not available, kindly provide its functional equivalent $

## Third applicant

Name

Gender M F O PAN Occupation Type Service Business Others

Father's Name

Cust ID / Folio No.

*Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes*

Type of address given at KRA ✓ Residential or Business ✓ Residential ✓ Business ✓ Registered Office

Permissible documents are ○ Passport ○ Election ID Card ○ PAN Card ○ Govt. ID Card ○ Driving License ○ UIDAI Card ○ NREGA Job Card ○ Others

Date of Birth Place of Birth

Country of Birth

Nationality

Are you a tax resident of any country other than India? Yes ✓ No ✓

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

| Country # | Tax Identification Number % | Identification Type *(TIN or Other, please specify)* |
|---|---|---|
| | | |
| | | |
| | | |
| | | |

#To also include USA, where the individual is a citizen / green card holder of The USA

%In case Tax Identification Number is not available, kindly provide its functional equivalent $

## Certification

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

**Signatures**

| First / Sole Applicant / Guardian | Second Applicant | Third Applicant |
|---|---|---|
| | | |

Date d d m m y y y y Place

## FATCA & CRS Terms & Conditions

**Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.**

**Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.**

**Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.**

# CKYC & KRA KYC Form

FUNDSINN.COM INVEST WISELY

**Know Your Client Application Form (For Individuals only)**

(Please fill the form in English and in BLOCK Letters)
Fields marked with '*' are mandatory fields

Application Type* ☐ New ☐ Update KYC Number* _____

KYC Type* ☐ Normal (PAN is mandatory) ☐ PAN Exempt Investors (Refer instruction K)

## 1. Identity Details (Please refer instruction **A** at the end)

PAN AWVPY4652M Please enclose a duly attested copy of your PAN Card

| | Prefix | First Name | Middle Name | Last Name |
|---|---|---|---|---|
| Name* (same as ID proof) | | | | |
| Maiden Name (If any*) | | | | |
| Father / Spouse Name* | | | | |
| Mother Name* | | | | |

Date of Birth* 26 – 01 – 2001

Photo

Gender* ☑ M- Male ☐ F- Female ☐ T-Transgender

Marital Status* ☐ Married ☑ Unmarried ☐ Others

Citizenship* ☑ IN- Indian ☐ Others – Country _____ Country Code

Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type* ☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector ☐ O-Others ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☑ Student ☐ B-Business ☐ X-Not Categorised

Signature/ Thumb Impression

## 2. Proof of Identity (PoI)* (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)

*(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)*

☐ A- Passport Number — Passport Expiry Date D D – M M – Y Y Y Y

☐ B- Voter ID Card

☐ D- Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

## 3. Proof of Address (PoA)*

☐ 3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)

**Address**

Line 1* TANDA BADLI RAMPUR DISTRICT RAMPUR UTTAR PRADE

Line 2 SH

Line 3 City / Town / Village*

District* Zip / Post Code* 244925 State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

*(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)*

Proof of Address*

☐ Passport Number — Passport Expiry Date D D – M M – Y Y Y Y

☐ Voter ID Card

☐ Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ Aadhaar Card

☐ NREGA Job Card

☐ Others (any document notified by the central government) Identification Number

☐ 3.2 Correspondence / Local Address Details* (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1', Submit relevant documentary proof)

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

**4. Contact Details** (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction **F** at the end)

Email ID SILENTKNIGHT1406@GMAIL.COM

Mobile – 9058856413 Tel. (Off) – Tel. (Res) –

**5. FATCA/CRS Information** (Tick if Applicable) ☐ Residence for Tax Purposes in Jurisdiction(s) Outside India (Please refer instruction **B** at the end)

Additional Details Required* (Mandatory only if above option (5) is ticked)

Country of Jurisdiction of Residence* Country Code of Jurisdiction of Residence as per ISO 3166

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* Country of Birth* Country Code as per ISO 3166

Address

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

**6. Details of Related Person** (Optional) (please refer instruction G at the end) (in case of additional related persons, please fill 'Annexure B1')

☐ Related Person ☐ Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

☐ Proof of Identity [PoI] of Related Person* (Please see instruction (**H**) at the end)

*(Certified copy of <u>any one</u> of the following Proof of Identity[PoI] needs to be submitted)*

☐ A- Passport Number Passport Expiry Date D D – M M – Y Y Y Y

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

**7. Remarks (If any)**

**8. Applicant Declaration**

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date: – – Place:

[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

**9. Attestation / For Office Use Only**

**Documents Received** ☐ Certified Copies

**KYC Verification Carried Out by** *(Refer Instruction I)*

Date – –

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

**Institution Details**

Name

Code

Emp. Branch

[Institution Stamp]

**In-Person Verification (IPV) Carried Out by** *(Refer Instruction J)*

Date – –

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

**Institution Details**

Name

Code

Emp. Branch

[Institution Stamp]